



ReguShield AI

PILOT SUCCESS KIT

PILOT DOCUMENTATION

Pilot Guide

Part of the ReguShield AI Pilot Success Kit — everything your team needs to run a successful compliance-intelligence pilot.

Welcome to your ReguShield AI pilot. This guide explains what the platform does, how the pilot runs, what each part of the workspace produces, and how we will measure success together.

1. What ReguShield AI Is

ReguShield AI is an enterprise compliance intelligence platform that converts your uploaded operational data into **audit-ready compliance decisions**. You upload a file of operational records; the engine scores each record, maps it to the regulatory obligations that apply, identifies control and evidence gaps, recommends actions, and produces an audit-ready Executive Report.

ReguShield AI provides decision-support across these EU regulatory frameworks:

- **AMLA 2027** — EU anti-money-laundering supervisory authority
- **AMLR** — EU Anti-Money-Laundering Regulation
- **AMLD6** — Sixth Anti-Money-Laundering Directive
- **MiCA** — Markets in Crypto-Assets Regulation
- **DORA** — Digital Operational Resilience Act
- **EU AI Act** — Artificial Intelligence Act
- **FATF Travel Rule** — originator/beneficiary information on transfers

Important: decision-support, not legal advice

ReguShield AI is an operational intelligence and decision-support layer. It is **not** legal advice and **not** a substitute for a qualified compliance officer's judgment. AI-generated scores, mappings, and recommendations are **inputs** to human decision-making. Final regulatory decisions — including Suspicious Transaction Reports (STRs), CDD completions, and supervisory filings — must be made and submitted by your authorised compliance personnel.

2. Pilot Duration & Expected Effort

- **Duration:** approximately **4 weeks**.
- **Expected effort: light** — **roughly 2–4 hours per week** from your nominated pilot lead.

The pilot is designed to fit around your team's existing workload. Most of the effort is in preparing a representative data file (covered in the Data Preparation Guide), reviewing outputs, and giving us feedback.

3. The End-to-End Flow

The pilot follows a single, linear path from access request to exported report:

1. **Request access** — submit your details at `/pilot-access`.
 2. **Admin approval** — your request is reviewed and approved.
 3. **Activation email** — you receive a single-use activation link, **valid for 7 days**.
 4. **Set password** — follow the link and set your workspace password.
 5. **Workspace** — you land in the `/pilot` workspace.
 6. **Onboarding** — complete `/pilot/onboarding` to set your organisation profile (sector, customer type, frameworks in scope).
 7. **Upload** — upload a single dataset file on the upload page.
 8. **Analysis** — the engine scores every record and maps it to applicable frameworks.
 9. **Cases** — review each record as a risk-scored compliance decision.
 10. **Evidence** — review the evidence requirements raised by control/evidence gaps.
 11. **Actions** — review recommended actions for flagged records.
 12. **Compliance Graph** — explore the reasoning chain end to end.
 13. **Report** — open your audit-ready Executive Report.
 14. **Export** — export the report as PDF, DOCX, XLSX, or TXT.
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4. What Each Module Produces

MODULE	WHAT IT PRODUCES
Upload / Analysis	Every row becomes a risk-scored compliance decision. A framework activates only when its required fields are present; if a framework does not trigger, the report explains why.
Cases	One risk-scored compliance decision per record, with the obligations and reasoning behind it.
Evidence	Evidence requirements generated from detected control and evidence gaps — what you would need to demonstrate compliance.
Actions	Recommended actions for flagged records, mapped to the relevant framework.
Compliance Graph	A traceable chain: Transaction → Regulation → Obligation → Risk → Decision → Action → Audit .
Executive Report	An audit-ready summary with risk decisions and regulatory basis, exportable as PDF / DOCX / XLSX / TXT. Each export carries a content hash, signature block, and audit envelope for integrity.

5. Expected Outcomes

By the end of the pilot you should have:

- A clear, record-by-record view of how your operational data maps to EU compliance obligations.
- A concrete list of evidence requirements and recommended actions.
- A visual reasoning chain (Compliance Graph) you can walk an auditor through.
- At least one exported, audit-ready Executive Report with integrity metadata.
- A practical sense of how ReguShield AI fits your existing compliance workflow.

6. Success Criteria

We will consider the pilot successful when:

- You have completed at least one full upload → analysis → report → export cycle.
- The frameworks relevant to your sector activated correctly on your data.
- The risk decisions, evidence requirements, and recommended actions are understood by your compliance team and judged useful as decision-support inputs.
- You can produce an Executive Report you would be comfortable taking into an audit conversation.
- Your team has given structured feedback on accuracy, clarity, and fit.

7. Pilot Completion & Expansion

In the final week we will hold an executive review to walk through your results, confirm which success criteria were met, and discuss next steps. If the pilot delivers value, we will discuss expansion — broader data coverage, additional frameworks in scope, and a path to ongoing use.

Support

Questions at any point during the pilot: hello@regushield.ai.

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