

## REGUSHIELD AI AUDIT REPORT

Case Reference: CASE-2026-1524

Case ID: 8

Workflow: transaction\_monitoring

Generated At: 2026-04-20T15:16:07.839Z

Audit Hash: ff2daceb58b12b0c1dccf44b8c454b56c5671e054c218464dbf53308be54db28

### RISK MATRIX

Risk Level: medium

Risk Score: 0

Status: open

### TRANSACTION CONTEXT

Amount: EUR 12100

Transaction Count (24h): 3

Customer Type: business

Customer Risk Level: low

Route: TR -> LT

Cross-Border: Yes

PEP Flag: Yes

Suspicious Pattern: Yes

### COMPLIANCE INPUTS

KYC Status: incomplete

Sanctions Screening: review\_required

Source of Funds: unknown

### AI REASONING

Maintained in medium-risk band because the system observed cross-border route, KYC weakness, sanctions review issue, source-of-funds uncertainty, suspicious behavior pattern, PEP exposure, but current evidence does not yet justify critical escalation.

### LEGAL BASIS

- AML6 - High-Risk Factors / Cross-Border Review
- EU AI Act Art. 14 - Human Oversight
- AML6 - KYC / Due Diligence Logic
- AML / SAR Escalation Logic
- AML6 - Suspicious Pattern Detection
- EU AI Act Art. 13 - Transparency & Explainability
- AVNT / KGK / ISA Audit Readiness

### NARRATIVE

No narrative available.

### RECOMMENDED ACTIONS

- No actions available.

NOTES

Manual beta test case

END OF REPORT