

REGUSHIELD AI AUDIT REPORT

Case Reference: CASE-2026-6124

Case ID: 11

Workflow: transaction_monitoring

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Audit Hash: 580db16675d23bd47535841d8e25cb8ca8f95172018f70c5512de6542b5e4d69

RISK MATRIX

Risk Level: high

Risk Score: 75

Status: under review

TRANSACTION CONTEXT

Amount: EUR 2500

Transaction Count (24h): 1

Customer Type: individual

Customer Risk Level: high

Route: TR -> LT

Cross-Border: Yes

PEP Flag: No

Suspicious Pattern: Yes

COMPLIANCE INPUTS

KYC Status: incomplete

Sanctions Screening: review_required

Source of Funds: unknown

AI REASONING

Classified as high risk due to cross-border route, KYC weakness, sanctions review issue, source-of-funds uncertainty, suspicious behavior pattern, high-risk customer profile.

Enhanced due diligence and structured compliance review are recommended.

LEGAL BASIS

- AML6 - High-Risk Factors / Cross-Border Review
- EU AI Act Art. 14 - Human Oversight
- AML6 - KYC / Due Diligence Logic
- AML / SAR Escalation Logic
- AML6 - Suspicious Pattern Detection
- EU AI Act Art. 13 - Transparency & Explainability
- AVNT / KGK / ISA Audit Readiness

NARRATIVE

The case is flagged due to multiple compliance risks including incomplete KYC, an unknown source of funds, and established suspicious transaction patterns that necessitate further investigation.

RECOMMENDED ACTIONS

- Ensure sanctions compliance is finalized [high / open]
- Conduct a thorough review of the suspicious transaction [high / open]
- Investigate the source of funds [high / open]
- Complete KYC for the individual [high / open]

NOTES

Manual beta test case

END OF REPORT